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**AdvicePeriod is
reinventing wealth
management.**

Industry Recognition & Awards



2019 Best Wealth Planning Firm



Schwab Advisor Services 2019 Pacesetter IMPACT™ Award



Innovator of the Year and Best Family Office 2020



Best Wealth Management Company 2017



Inc. Magazine Best Workplaces 2018 & 2019



Multiple Barron's Top Advisor Rankings



Top 300 Financial Advisors 2019 & 2020



Top Company Culture 2018



#1 Best Place to Work in Los Angeles 2016

Awards Disclosures

WealthManagement.com awarded AdvicePeriod Principal, Steve Lockshin, the 2020 Innovator of the Year for his role in developing Vanilla, a new standard in estate planning technology. Criteria include both quantitative measures such as program features, usage, potential to change the industry and existing business practices, scope, scale, along with qualitative measures such as creativity, new practice standards, etc. Additionally, WealthManagement.com awarded AdvicePeriod's CFO Services team, as the Best Family Office - Client Initiative for offering unique in-house business management services to ultra-high net worth clients. Criteria include both quantitative measures, such as specific feature set, usage, potential, scope, scale, etc., along with qualitative measures such as innovation, creativity, new methods of deployment, etc. There were no costs involved in the application process for this award.

The judging process for the Family Wealth Report Awards is conducted by three panels of judges to avoid any conflicts of interest: one panel of MFO's/Bankers who judge the trusted advisor categories; a second panel of Trusted Advisors who judge the MFO and banking categories; and a third panel dedicated to judging the Technology section. The award categories are focused around three main areas: experts, products, and services for wealth managers and clients/institutions of all sizes and types. AdvicePeriod was Best Wealth Planning Firm under the Private Client Categories. There were no costs involved in the application process for this award.

The Charles Schwab & Co., Inc.'s IMPACT Awards® program recognizes excellence in the business of independent financial advice. Nominees are evaluated and selected by a panel of prominent leaders from both the business world and the financial services industry. For more information on the IMPACT Awards® program, visit <http://impact.schwab.com/awards/>. AdvicePeriod is not owned or affiliated with Charles Schwab & Co., Inc. ("Schwab"), and its personnel are not employees or agents of Schwab. The IMPACT Award® is not a referral to, endorsement or recommendation of, or testimonial for the advisor with respect to its investment advisory or other services. Schwab Advisor Services® serves independent investment advisors and includes the custody, trading, and support services of Schwab. Independent investment advisors are not owned by, affiliated with, or supervised by Schwab. The Harlem Lacrosse is not affiliated with or employed by Schwab. This is not and should not be construed as a recommendation, endorsement, or sponsorship by Schwab.

Awards Disclosures (Continued)

Barron's recognitions are based upon factors including: assets under management, revenue produced for the firm, regulatory record, and quality of practice. Quality of practice includes an advisor's regulatory/compliance record and philanthropic and charitable work.

AdvicePeriod's selection for Inc. magazine's Best Workplaces list was based on a written application and an employee satisfaction survey. The survey measured engagement and people practices by surveying employees on their career development opportunities, leadership's communication, their trust in leadership, team dynamics, and individual needs. The survey was conducted by Quantum Workplace. The application cost was \$125.00.

AdvicePeriod ranked 6 out of 50 on the Top Cultures list for 2018 in the small business category. AdvicePeriod's rank was measured by employee responses to 24 questions on subject matters such as connection, alignment, effectiveness, leadership, and management, as well as basics such as pay, benefits, and flexibility. The survey was conducted by Energage. There were no costs involved in the application process for this award.

Financial Times top registered Investment Advisors won in 2019 and 2020 is a listing produced by the Financial Times. The FT300 is based on an application with information about the practice: assets under management, asset growth, compliance record, years in existence and credentials. RIA firms are not charged a fee for consideration or selection.

It should be noted that no such award should be construed by clients or prospective clients as a guarantee that they will experience a certain level of results if AdvicePeriod is engaged, or continues to be engaged, to provide investment advisory services, nor should it be construed as a current or past endorsement of the AdvicePeriod by any of its clients.

Additional disclosures can be viewed at <https://www.adviceperiod.com/disclosure/>